

PATENT
0305422

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application
TANAKA, et al.

Serial No.: Not Assigned
Filed: August 19, 2003

For: **SOUND RECORDING/REPRODUCING METHOD
AND APPARATUS**

Group No.: Not Assigned

Examiner: Not Assigned

19704 U.S. PTO
10/643422



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Date of Deposit: August 19, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ Letter of transmittal
- ☒ Patent application (97 pages of specification; 42 claims; 1 pages of abstract)
- ☒ Checks in the amount of \$750.00 and \$1,152.00 to cover the filing fee and additional claims fee
- ☒ 18 sheets of informal drawings
- ☒ A Declaration/Power of Attorney
- ☒ An Assignment of the invention with cover sheet and \$40.00 recordation fee
- ☒ A Preliminary Amendment
- ☒ Certified copy of (2) Japanese patent applications from which priority is claimed
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

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August 19, 2003

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James Swanson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): TANAKA, et al.

Title SOUND RECORDING/REPRODUCING METHOD AND
APPARATUS

Atty. Dkt.: P 0305422 | H7959US
M# Client Ref

including:

Date: August 19, 2003

1. Specification: 97 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 42 numbered claims
4. ☒ Drawings: 18 sheet(s) ☒ informal; ☐ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 6 or 7). (Prior M# _____ SN _____)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No.	/	filed	_____
No.	/	filed	_____
No.	/	filed	_____
No. PCT	/	filed	_____, which

designated the US.

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☒ Assignee Yamaha Corporation

Address 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka-ken, Japan

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. _____ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2002-240358	August 21, 2002	(2) 2002-246827	August 27, 2002
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 rd page for additional priorities	

12. 2 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. / filed on _____
13. Small entity status → ☒ is **not** claimed; ☐ is claimed (Pre-filing confirmation required)
- 13(a). ☐ Attached: _____ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
- 13(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☒ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$750/\$375	\$750	101/201
19. Total Effective Claims	42	minus 20 =	*22	x \$18/\$9 =	+ 396	103/203
20. Independent Claims	12	minus 3 =	*9	x \$84/\$42 =	+ 756	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$280/\$140	+ 0	104/204
22.				TOTAL FILING FEE =	\$1902	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26.				TOTAL FEE =	\$1942	

Our Deposit Account No. 03-3975

Our Order No. 051270 C# 0305422 M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

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RRW/JES

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments